

## 8(a) Participant Benefits Report

Each Program Participant owned by a Tribe, Alaska Native Corporation (ANC), Native Hawaiian Organization (NHO), or Community Development Company (CDC) is required as condition of participation to submit to the Small Business Administration (SBA) information showing how the Tribe, ANC, NHO, or CDC has provided benefits to the Tribal or Native members and/or Tribal, Native, or other communities as a result of the Tribe's/ANC's/ NHO's/ CDC's participation in the 8(a) Business Development program through one or more firms. SBA is collecting this information pursuant to 13 C.F.R. § 124.604, as it believes that the 8(a) Business Development program is a much needed and beneficial program, and that the Native and community component of the program serves a valuable economic and community development purpose in addition to its business development purpose.

Benefits are to be reported at the ANC, Tribal, NHO, CDC, or wholly-owned holding company level, on behalf of all the 8(a) participants owned by the Tribe, ANC, NHO, or CDC, known as the "Reporting Entity."\* Benefits will be reported once annually, no later than 120 days after the end of the Reporting Entity's fiscal year.

All information collected will be protected to the fullest extent permitted by law, including the Freedom of Information Act (5 U.S.C. § 552), Privacy Act (5 U.S.C. § 552a), the Right to Financial Privacy Act of 1978 (12 U.S.C. § 3401), and the SBA's implementing regulations of these statutes (13 CFR Part 102.).

When completing Section II, "SBA Benefits Reporting Categories," refer to Attachment 1 to this form, "Definitions," for the types of data and calculations to be reported under each section.

### SECTION I - Reporting Entity Information - American Indian Tribe, ANC, NHO, CDC, or Holding Company Owner of Program Participant(s)

1. Eligibility: Is the Reporting Entity representative of an American Indian Tribe, ANC, NHO, or CDC? If the Reporting Entity is owned through a wholly-owned holding company, check all categories that apply:

- |  |   |                              |
|--|---|------------------------------|
| <input type="checkbox"/> American Indian Tribe | <input type="checkbox"/> ANC                          | <input type="checkbox"/> NHO |
| <input type="checkbox"/> CDC                   | <input type="checkbox"/> Wholly-Owned Holding Company |                              |

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\* SBA has decided that it would be less onerous on the 8(a) program participant(s) if the reporting requirement is at the parent corporation level as opposed to the individual firm level. One benefits reporting form will be submitted by the Reporting Entity on behalf of all participants owned by the same Tribe, ANC, NHO, or CDC. For the purposes of this Form, the "Reporting Entity" is the Tribe, ANC, NHO, or CDC that has supplied this information, either directly or through a wholly-owned entity.

2. Name of Reporting Entity – American Indian Tribe, ANC, NHO, CDC, or Wholly-Owned Holding Company Owner of Participant(s):  
\_\_\_\_\_

3. Address of Reporting Entity: \_\_\_\_\_

City: \_\_\_\_\_ County: \_\_\_\_\_

State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

4. Reporting Entity Point of Contact Information:

Name: \_\_\_\_\_ Position Held: \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Email Address: \_\_\_\_\_

Business Telephone: \_\_\_\_\_ Fax Number: \_\_\_\_\_

5. List the names of the current 8(a) Program Participants owned by the Reporting Entity during the applicable fiscal year and the years in which the firms were certified in the 8(a) program. If more space is needed, provide in an attachment marked as Attachment I.5.

Name	Year Certified
_____	_____
_____	_____
_____	_____
_____	_____

6. Estimated net income generated by all 8(a) Program Participant(s): \_\_\_\_\_

a) Estimated net income generated by Reporting Entity's 8(a) contracts, excluding non-8(a) work: \_\_\_\_\_

b) Summarize the methodology utilized in determining the estimate of the net income generated by all of the 8(a) Program Participant(s). If more space is needed, provide in an attachment marked as Attachment I.6.a.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

c) Is a portion of the net income generated by the 8(a) Program Participant(s) being reinvested into the corporate entities owned by the Reporting Entity? Net income is considered to be reinvested if the Reporting Entity keeps the earnings in the 8(a) firm or in another subsidiary of the Reporting Entity to increase its capital accounts or make the funds available in a capital investment, hiring of personnel, or otherwise expand the existing corporate entities' businesses and/or market share.

Yes  No If yes, what percentage? \_\_\_\_\_

d) Percentage of the estimated 8(a) government contracting net income applied to all benefits listed within Section II of this Form: \_\_\_\_\_

e) Provide any additional narrative/information describing how the Reporting Entity determines net income allocations towards benefits, reinvestment into the corporate entities, or utilized to invest in new business opportunities. If more space is needed, provide in an attachment marked Attachment II.6.d.

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## SECTION II – SBA Benefits Reporting Categories

Below are eight categories SBA has identified as potentially providing benefits to the Native or other communities through the Reporting Entity's participation in the 8(a) program. Answer each question, making sure to list and describe all applicable programs, initiatives, donations, and investments of funds through the 8(a) participant or other business enterprise. Each category has a section where the Reporting Entity is provided the opportunity to offer a narrative statement describing both tangible and intangible contributions 8(a) program participant(s) have made to the Native or other communities. The eighth category allows the Reporting Entity to self-identify and report any contribution or benefit not encompassed in the prior categories.

This report is designed to be a statement of benefits conferred to Native or other communities, and is not intended to serve as a formal or informal audit of Native-owned 8(a) program participants. SBA will not use the information provided to make an eligibility determination of any 8(a) program participant.

### 1. Background Narrative

a) Provide a narrative statement describing the community, culture, and history of the Native or community organization associated with the Reporting Entity. If more space is needed, provide in an attachment marked as Attachment II.1.a.

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- b) If Reporting Entity is Native-owned, state the number of tribal members, shareholders, or Native Hawaiians in Tribe, ANC, or NHO: \_\_\_\_\_
- c) If Reporting Entity is a CDC, state the number of members in the community serviced by CDC: \_\_\_\_\_

**2. Health, Social and Cultural Support**

This category encompasses contributions made by the Reporting Entity to the Health, Social and Cultural Support of the Native or other communities. The Reporting Entity should identify the total financial contribution made for all programs under this category, list the programs supported and estimate the total number of beneficiaries of the identified program if known.

Total financial contribution: \_\_\_\_\_

Program	Beneficiaries

Provide any additional narrative/information describing Health, Social, and Cultural Support programs established and/or funded by the Reporting Entity for the benefit of the Native or other communities and beneficiaries as a direct or indirect result of its subsidiaries' participation in the 8(a) program, including intangible benefits to the beneficiaries. If more space is needed, provide in an attachment marked Attachment II.2.

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**3. Education and Development**

This category encompasses programs funded by the Reporting Entity to support the Education and Development of the Native or other communities. The Reporting Entity should identify the total financial contribution made for all programs under this category, list the programs supported, and estimate the total number of beneficiaries of the identified program if known.

Total financial contribution: \_\_\_\_\_

Program	Beneficiaries

Provide any additional narrative/information describing Education and Development programs established and/or funded by the Reporting Entity for the benefit of the Native or other communities as a direct or indirect result of its subsidiaries' participation in the 8(a) program, including intangible benefits to the beneficiaries. If more space is needed, provide in an attachment marked Attachment II.3.

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**4. Lands**

This category encompasses programs and initiatives funded by the Reporting Entity to support the Lands of the Native or other communities. The Reporting Entity should identify the total financial contribution made for all programs under this category, list the programs supported, and estimate the total number of beneficiaries of the identified programs or initiatives if known.

Total financial contribution: \_\_\_\_\_

Program	Beneficiaries

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Provide any additional narrative/information describing Lands programs and initiatives established and/or funded by the Reporting Entity for the benefit of the Native or other communities as a direct or indirect result of its subsidiaries' participation in the 8(a) program, including intangible benefits to the beneficiaries. If more space is needed, provide in an attachment marked Attachment II.4.

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**5. Economic and Community Development**

This category encompasses programs and initiatives funded by the Reporting Entity to support the Economic and Community Development of the Native or other communities. The Reporting Entity should identify the total financial contribution made for all programs under this category, list the programs supported, and estimate the total number of beneficiaries of the programs if known.

Total financial contribution: \_\_\_\_\_

Program	Beneficiaries

Provide any additional narrative/information describing Economic and Community Development programs and initiatives established and/or funded by the Reporting Entity for the benefit of the Native or other communities as a direct or indirect result of its subsidiaries' participation in the 8(a) program, including intangible benefits to the beneficiaries. If more space is needed, provide in an attachment marked Attachment II.5.

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**6. Employment**

This category encompasses programs and initiatives funded by the Reporting Entity to support Employment in the Native or other communities. The Reporting Entity should identify the total financial contribution made for all programs under this category, including the estimated total amount of wages paid to Natives and employment taxes by the Reporting Entity or its subsidiaries as a direct or indirect result of the subsidiaries' participation in the 8(a) program (including the Reporting Entity's and subsidiaries' Board or Tribal Council for service).

Total financial contribution: \_\_\_\_\_

- i) Report the *estimated number of jobs* directly or indirectly created by the Reporting Entity's subsidiaries' participation in the 8(a) program benefiting the Native or other communities, including the sectors of the economy in which these jobs were created. If more space is needed, provide in an attachment marked Attachment II.6.i.

Total estimated number of jobs: \_\_\_\_\_

- ii) List and describe any programs or initiatives created for *employment assistance and support* benefiting the Native or other communities as a direct or indirect result of the Reporting Entity subsidiaries' participation in the 8(a) program. The Reporting Entity should list programs supported and estimated total number of beneficiaries.

Program	Beneficiaries

Provide any additional narrative/information describing Employment programs and initiatives established and/or funded by the Reporting Entity for the benefit of the Native or other communities as a direct or indirect result of its subsidiaries' participation in the 8(a) program, including intangible benefits to the beneficiaries. If more space is needed, provide in an attachment marked Attachment II.6.

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**7. Economic Benefits**

This category encompasses Economic Benefits, including investments, payments, and dividends, derived from participation in the 8(a) program. The Reporting Entity should identify the total financial contribution made for all programs under this category, list the programs supported, and estimate the total number of beneficiaries served if known.

Total financial contribution: \_\_\_\_\_

Program	Beneficiaries

Provide any additional narrative/information describing Economic Benefits, including both investments, payments, and dividends, derived from participation in the 8(a) program benefiting the Native or other communities, including intangible benefits to the beneficiaries. If more space is needed, provide in an attachment marked Attachment II.7.

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**8. Other Activities**

This category encompasses any other benefits derived from participation in the 8(a) program not covered by the other categories. The Reporting Entity should identify the total financial contribution made for all programs under this category, list the programs supported, and estimate the total number of beneficiaries served if known.

Total financial contribution: \_\_\_\_\_

Program	Beneficiaries

Provide a narrative statement detailing any other benefits the Reporting Entity provides to the Native or other communities not encompassed by the categories above, as a result of its subsidiaries' participation in the 8(a) program, including intangible benefits to the beneficiaries. If more space is needed, provide in an attachment marked Attachment II.8.

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### SECTION III – CERTIFICATION

I hereby certify that (1) I have made diligent efforts to obtain all information needed to complete this section; (2) I have used generally accepted accounting methods to estimate the net income calculations reported herein; and (3) all information and attachments are true and correct to the best of my knowledge.

\_\_\_\_\_  
Name of Reporting Entity

By: \_\_\_\_\_  
Signature

Name and Title: \_\_\_\_\_

Date of Signing: \_\_\_\_\_